#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Form 144 Filer Information Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

# 144: Filer Information

Filer CIK 0001979623 Filer CCC XXXXXXXX Is this a LIVE or TEST Filing? LIVE TEST Submission Contact Information

Name Phone E-Mail Address

## 144: Issuer Information

Name of Issuer SEC File Number

Address of Issuer

Phone

Name of Person for Whose Account the Securities are To Be Sold

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer

Key personnel

UP Fintech Holding Ltd

#### 144: Securities Information

| Title of the Class of<br>Securities To Be Sold                                     | Name and Address of<br>the Broker   | Number of<br>Shares or<br>Other Units<br>To Be Sold | Aggregate<br>Market<br>Value |           | Approximate<br>Date of Sale | Name the<br>Securities<br>Exchange |
|--|---|---|------------------------------|-----------|-----------------------------|------------------------------------|
| American Depositary<br>Shares (each representing<br>15 Class A Ordinary<br>Shares) | Tiger Brokers (NZ)<br>Limited<br>Level 27, Queen Street<br>Auckland Central<br>Auckland Q2 1010 | 100000  | 511000.00                    | 167138816 | 09/11/2023                  | NASDAQ                             |

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Is

# **144: Securities To Be Sold**

Title of the Class

Date you Nature of Acquired Acquisition

Name of Person from

Date this Donor

Amount of Securities

Date of Nature of Payment Payment \*

| 001-38833                               |
|---|
| 18F,NO.16 TAIYANGGONG RD,CHAOYANG DIST. |
| BEIJING                                 |
| CHINA                                   |
| 100020                                  |
| 86-10-56216660                          |
| Dong Ming                               |

|   |            | Transaction             | Whom<br>Acquired          | a<br>Gift? | Acquired | Acquired |                 |
|---|------------|-------------------------|---------------------------|------------|----------|----------|-----------------|
| American<br>Depositary<br>Shares (each<br>representing 15<br>Class A<br>Ordinary<br>Shares) | 06/10/2019 | ESOP option<br>exercise | UP Fintech<br>Holding Ltd |            |          | 950116   | 02/22/2019 Cash |

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

#### **144: Securities Sold During The Past 3 Months**

Nothing to Report 📝

## 144: Remarks and Signature

Remarks Date of 09/11/2023 Notice

#### ATTENTION:

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Shi Zhangwenqing, as a duly authorized representative of Tiger Brokers (NZ) Limited as attorney-in-fact for client Dong Ming.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)